



**GUIDANCE DOCUMENT**  
**FORMULATION OF**  
**SUSTAINABLE FOREST**  
**MANAGEMENT PLANS**

*(Intended for Organization)*

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## ABBREVIATIONS

FP	Forest Protection
CITES	The Convention on International Trade in Endangered Species of Wild Fauna and Flora
CoC	Chain of Custody
FM	Forest Management
HCV	High Conservation Value
HCVF	High Conservation Value Forest
ITTO	International Tropical Timber Organization
IUCN	The International Union for Conservation of Nature
NTFPs	Non-timber forest products (NTFPs)
GD	Government Decree
FFP	Forest Fire Prevention
SFM	Sustainable Forest Management
RB	Vietnam Red Data Book
TCVN	Vietnam Standard
PC	People's Committee



## PREFACE

The Project on Enhancing Sustainable Natural Resource Management Project (hereinafter referred to as the “Project”) aims to strengthen the capacity of the central government, the provincial government, and forest owners to promote Sustainable Forest Management (SFM) to comply with national and international standards. The project consists of 2 components, in which Component 1 supports forestry and biodiversity conservation policy, while Component 2 includes activities related to SFM.

The project selects Hoa Binh, Son La, Lao Cai, and Tuyen Quang provinces as the project locations for the implementation of Component 2. Supporting the 4 project provinces in developing and implementing the sustainable forest management plan is one of the contents of the project, in which the organization of training workshops is designed to enhance the capacity of forest owners and stakeholders on the formulation of the SFM Plan. To implement this content, the Project conducts the development of manuals for the formulation of the SFM Plan for two target groups: 1. Organizations and 2. households, individuals and communities. The guiding document is prepared based on the contents of Circular No. 28/2018/TT-BNNPTNT (hereinafter referred to as Circular 28). The guidance document for the development of sustainable forest management plans for organization is arranged in three chapters

- CHAPTER I: THE GENERAL INFORMATION. This chapter provides readers with general information on sustainable forest management and Forest Management Certificate, and an overview of the process of developing a sustainable management plan.

- CHAPTER II: THE DETAILED GUIDELINES FOR FORMULATION A SUSTAINABLE FOREST MANAGEMENT PLAN FOR ORGANIZATION. This chapter focuses on guiding the development of each content of the plan according to the instructions in Appendix II of Circular 28.

- CHAPTER III: THE GUIDELINES FOR MAP BUILDING. This chapter provides technical guidance on the construction of maps with sustainable forest management plans in accordance with Circular 28.

## CHAPTER I: THE GENERAL INFORMATION

### 1. THE CONCEPT OF SUSTAINABLE FOREST MANAGEMENT AND FOREST MANAGEMENT CERTIFICATE

#### 1.1 THE SUSTAINABLE FOREST MANAGEMENT INTRODUCTION

##### - What is sustainable forest management?

The concept of Sustainable Forest Management (SFM) was developed to deal with the negative impacts (environmental and social) of excessive use of forest resources, the increasing demands of human life on forest products, and require the protection of forest resources for future generations.

Around the world, the following two concepts of Sustainable Forest Management are widely accepted:

- International Tropical Timber Organization (ITTO, 1992): Sustainable Forest management is the process of managing forests to achieve one or more clearly specified objectives of management with regard to the production of a continuous flow of desired forest products and services without undue reduction of its inherent values and future productivity and without undue undesirable effects on the physical and social environment.

The Helsinki Process (The Ministerial Conference on the Protection of Forests in Europe (MCPFE, 1993)) The stewardship and use of forest lands in a way and at a rate that maintains their productivity, biodiversity, productivity, regeneration capacity, vitality and their potential to fulfil now and in the future relevant ecological, economic and social functions at local, national and global levels and that does not cause damage to other ecosystems.

In Vietnam, the concept of sustainable forest management is specified in Article 2, Forest Law 2017: Sustainable Forest Management is a method of forest governance that ensures the achievement of forest protection and development goals, does not degrade forest values, and enhance forest values, improves livelihoods, protect the environment, contribute to maintaining national defense and security.

So:

- Sustainable forest management has become a principle in forest business management as well as a standard that forest business management needs to achieve.

- Sustainable forest management is therefore not only a need but also an objective global trend for long-term forest management according to international standard forest management systems.

##### - Why is sustainable forest management?

Features of forest ecosystems and forestry	Legal, social and market requirements
<ul style="list-style-type: none"> <li>- Multi-valued forest ecosystems (providing, regulating, cultural and supporting).</li> <li>- Complex characteristics of the forest ecosystem (structure, factors that interact and depend on each other, change over time).</li> </ul>	<ul style="list-style-type: none"> <li>- Implement international commitments and conventions that Vietnam participates in (ILO, CBD, CITES, RAMSAR, VPA FLEGT, etc.).</li> <li>- Law on Forestry 2017.</li> <li>- Forest business in the direction of multi-objectives (economic, social,</li> </ul>